

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 3

In the Application of COLOSI

Serial No.: 10/074,302

Art Unit: 1636

Filed: February 11, 2002

Examiner: Unassigned

Title: HIGH-EFFICIENCY WILD-TYPE-FREE AAV HELPER FUNCTIONS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
✓	AA-1	4,797,368	January 10, 1989	Carter et al.			
	AB-1	5,139,941	August 18, 1992	Muzyczka et al.			
	AC-1	5,173,414	Dec. 22, 1992	Lebkowski et al.			
	AD-1	5,436,146	July 25, 1995	Shenk et al.			
	AE-1	5,589,377	December 31, 1996	Lebkowski et al.			
	AF-1	5,622,856	April 22, 1997	Natsoulis			
	AG-1	5,658,776	August 19, 1997	Flotte et al.			
	AH-1	5,693,531	December 2, 1997	Chiorini et al.			
	AI-1	5,753,500	May 19, 1998	Shenk et al.			
	AJ-1	6,001,650	December 14, 1999	Colosi			
✓	AK-1	6,027,931	February 22, 2000	Natsoulis et al.			

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO
✓	AL-1	WO 95/06743	March 9, 1995	PCT			

Examiner: *[Signature]*

Date Considered: *1/20/04*

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Atty Dkt No. 0800-0005.05

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 2 of 3

RECEIVED
OCT 18 2002
TECH CENTER 1600/2900

In the Application of COLOSI

Serial No.: 10/074,302

Art Unit: 1636

Filed: February 11, 2002

Examiner: Unassigned

Title: HIGH-EFFICIENCY WILD-TYPE-FREE AAV HELPER FUNCTIONS

u	AM-1	WO 95/13365	May 18, 1995	PCT				
i	AN-1	WO 95/13392	May 18, 1995	PCT				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
u	AO-1	Jayaram, "Two-micrometer Circle Site-specific Recombination: The Minimal Substrate and the Possible Role of Flanking Sequences," <i>Proc. Natl. Acad. Sci. USA</i> 82:5875-5879 (1985)
7	AP-1	Karreman et al., "On the use of Double FLP Recognition Targets (FRTs) in the LTR of Retroviruses for the Construction of High Producer Cell Lines," <i>Nucleic Acids Research</i> 24 (9):1616-1624 (1996)
	AQ-1	Li et al., "Role for Highly Regulated rep Gene Expression in Adeno-Associated Virus Vector Production," <i>Journal of Virology</i> 71(7):5236-5243 (1997)
	AR-1	McCarty et al., "Sequences Required for Coordinate Induction of Adeno-Associated Virus p19 and p40 Promoters by Rep Protein," <i>J. Virol.</i> 65(6):2936-2945 (1991)
	AS-1	O'Gorman et al., "Recombinase-mediated Gene Activation and Site-specific Integration in Mammalian Cells," <i>Science</i> 251:1351-1355 (1991)
u	AT-1	Ogasawara et al., "The Use of Heterologous Promoters for Adeno-Associated Virus (AAV) Protein Expression in AAV Vector Production," <i>Microbiol. Immunol.</i> 42(3):177-185 (1998)

Examiner: Emil KL

Date Considered: 7/23/04

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Atty Dkt No. 0800-0005.05

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 3 of 3

In the Application of COLOSI




Serial No.: 10/074,302

Art Unit: 1636

Filed: February 11, 2002

Examiner: Unassigned

Title: HIGH-EFFICIENCY WILD-TYPE-FREE AAV HELPER FUNCTIONS

Exam. Init.	Ref. Desig.	Description
	AU-1	Samulski et al., "Helper-free Stocks of Recombinant Adeno-associated Viruses: Normal Integration Does Not Require Viral Gene Expression," <i>J. Virol.</i> <u>63</u> (9):3822-3828 (1989)
	AV-1	Schlake and Bode, "Use of Mutated FLP Recognition Target (FRT) Site for the Exchange of Expression Cassettes at Defined Chromosomal Loci," <i>Biochemistry</i> <u>33</u> (43):12746-12751 (1994)
	AW-1	Vincent et al., "Analysis of Recombinant Adeno-Associated Virus Packaging and Requirements for rep and cap Gene Products," <i>Journal of Virology</i> <u>71</u> (3):1897-1905 (1997)

Examiner:



Date Considered:



EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.